



PTO/SB/21 (04-04)

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

18

Application Number

10/757,958

Filing Date

January 13, 2004

First Named Inventor

OGASAWARA, Hiroshi

Art Unit

2122

Examiner Name

Unassigned

Attorney Docket Number

16869K-103400US

**ENCLOSURES** (Check all that apply)☒ Fee Transmittal Form (in duplicate)☐ Fee Attached☒ Preliminary Amendment (7 pages)☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/  
Incomplete Application☐ Response to Missing Parts  
under 37 CFR 1.52 or 1.53☐ Drawing(s)☐ Licensing-related Papers☒ Petition to Make Special (8 pages)☐ Petition to Convert to a  
Provisional Application☐ Power of Attorney, Revocation  
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) \_\_\_\_\_☐ After Allowance Communication  
to Technology Center (TC)☐ Appeal Communication to Board  
of Appeals and Interferences☐ Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please  
identify below):

Return Postcard

Six (6) cited references

Remarks

The Commissioner is authorized to charge any additional fees to Deposit  
Account 20-1430.**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**Firm  
or  
Individual nameTownsend and Townsend and Crew LLP  
Chun-Pok Leung

Reg. No. 41,405

Signature

Date

September 13, 2004

**CERTIFICATE OF TRANSMISSION/MAILING**

Express Mail Label: EV 530887279 US

I hereby certify that this correspondence is being deposited with the United States Postal Service with "Express Mail Post Office to Address" service under 37 CFR 1.10 on this date September 13, 2004 and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name

Joy Salvador

Signature

Date

September 13, 2004



PATENT  
Attorney Docket No.: 16869K-103400US  
Client Ref. No.: 640/SM/mt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

HIROSHI OGASAWARA et al.

Application No.: 10/757,958

Filed: January 13, 2004

For: METHOD OF INSTALLING  
SOFTWARE ON STORAGE  
DEVICE CONTROLLING  
APPARATUS, METHOD OF  
CONTROLLING STORAGE  
DEVICE CONTROLLING  
APPARATUS, AND STORAGE  
DEVICE CONTROLLING  
APPARATUS

Customer No.: 20350

Confirmation No.: 5071

Examiner: Unassigned

Technology Center/Art Unit: 2122

**PRELIMINARY AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Prior to examination of the above-referenced application, please enter the following amendments and remarks:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks/Arguments** begin on page 7 of this paper.